

SUBHROJEET SAHA

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EXECUTIVE PROFILE

Technology Risk & Compliance professional with 7+ years of experience across Goldman Sachs, JPMorgan Chase, Moody's and KPMG. Expertise spans Compliance, Risk Management, Third-Party Risk Management (TPRM), Information Security Governance, Technology Risk, Internal Audit, Control Assurance and Regulatory Compliance.

Recognized for partnering with Engineering, Security and Business leadership to strengthen governance, mitigate operational and technology risks, improve control effectiveness and drive regulatory readiness. Experienced in leading risk assessments, compliance monitoring, policy governance, control surveillance, remediation oversight, and executive stakeholder engagement within highly regulated finance/fintech environments.

SELECTED ACHIEVEMENTS

- Managed a frontier vulnerability management program that is Priority 0 for a major financial institution.
- Established a Second Line Controls Surveillance capability to proactively identify control weaknesses and reduce audit findings through continuous controls monitoring.
- Redesigned the TPRM framework and operating model, improving efficiency by approximately 30% while aligning with SOC 2 and BSI C5 requirements.
- Led delivery of 250+ annual vendor risk assessments and 50+ critical supplier reviews without SLA breaches.
- Conducted enterprise-wide technology and operational risk assessments across SaaS and financial services environments.
- Advised senior stakeholders on risk treatment, control improvements and remediation strategies across global organizations.
- Identified key areas for leveraging automation and architected solutions that drive efficiency and throughput in areas with intensive manual processes.

CORE COMPETENCIES

Program Management | Compliance | Risk Management | Technology Risk | Third-Party Risk Management (TPRM) | Information Security Governance | Regulatory Compliance | Internal and External Audits | Operational Risk | Control Assurance | Policy & Standards Governance | Risk Assessments | Stakeholder Management | Security Awareness

PROFESSIONAL EXPERIENCE

JPMORGAN CHASE & CO | Technical Program Manager III | March 2026 – Present

- Primary Role: As part of Project Glasswing (Anthropic x JPMC), part of the technical program management group that manages the identification, triaging and resolution of vulnerabilities and weaknesses identified by Mythos and other frontier AI Models across a major LOB.
- Partner with Business, Engineering, Cybersecurity and Technology Risk teams as a Security GRC SME to keep the program moving.
- Automated and tracked metric calculation and Business Intelligence efforts to be able to present live KPIs (like vulnerability burndown since inception) to C-suite management.
- Contribute to streamlining application onboarding processes by aligning workflows with required technology and security control requirements across the entire SDLC.
- Identify opportunities and implement intelligent automation and process optimization to reduce manual effort and improve risk management and reporting activities.
- Monitor and manage security reliability metrics and risk indicators, providing insights to stakeholders on control health and remediation progress.

DILIGENT CORPORATION | Staff GRC Analyst | May 2024 – Mar 2026

- Lead Security Risk and Third-Party Risk Management activities for a global SaaS organization, managing a team of five risk professionals.
- Established a Second Line Controls Surveillance capability to strengthen governance oversight and proactively identify control deficiencies.
- Redesigned TPRM policies, standards and controls to align with SOC 2 and BSI C5 requirements, improving operational efficiency by ~30%.
- Conduct enterprise-wide technology and operational risk assessments and advise Engineering and Business leaders on risk treatment strategies.
- Oversee 250+ annual risk-based vendor assessments and 50+ critical supplier reviews.
- Ensure that internal policies are updated in a regular cadence and processes remain auditable. The aim was to make systems across the firm compliant with international standards and be audit and IPO ready.
- Deliver executive risk reporting, compliance communications and security awareness initiatives.

MOODY'S CORPORATION | Senior IT Auditor | Jun 2022 – May 2024

- Executed risk-based technology and integrated audits across enterprise functions covering risk assessment, control testing, reporting and remediation validation.
- Conducted continuous risk monitoring to identify emerging operational, compliance and information security risks.
- Advised senior stakeholders on control deficiencies, remediation priorities and risk treatment plans.
- Maintained risk registers and coordinated remediation activities across multiple business functions.
- Partnered with auditors, consultants and business leadership on governance, compliance and audit initiatives.

GOLDMAN SACHS | Senior Analyst | Jul 2021 – Apr 2022

- Assessed technology controls and risk management practices supporting Global Markets Engineering and Operations.
- Evaluated control effectiveness across critical applications, infrastructure and business processes.
- Performed application and source code reviews across Python, Java, SQL and C++ environments.
- Partnered with Engineering, Operations and Risk stakeholders to evaluate risk exposure and support mitigation activities.
- Validated remediation actions and monitored closure of technology risk findings.

KPMG INDIA | Analyst | Jan 2019 – Jun 2021

- Delivered SOC 1, SOC 2, ISAE 3402 and ISAE 3000 assurance engagements across technology, telecommunications and media sectors.
- Performed risk assessments, compliance reviews and control testing of technology and information security controls.
- Developed Risk Control Matrices aligned to regulatory and industry frameworks.
- Supported audit planning, stakeholder engagement and delivery of assurance programs.

EDUCATION

Bachelor of Technology (Computer Science Engineering)
SRM Institute of Science and Technology, 2015-2019

CERTIFICATIONS

ISO 42001: AI Management Systems Lead Implementer
ISC2 Certified in Cybersecurity (CC)
Microsoft Azure Fundamentals
AWS Cloud Fundamentals
UiPath RPA Foundation Certification
Alteryx Foundation Certificate
Linux LFS 101x

FRAMEWORKS & TOOLS

NIST CSF | SOC 1 | SOC 2 | FEDRAMP | HIPAA | BSI C5 | COBIT | GDPR | ISO 27001 |
ISO 42001 | DORA |
Power BI | Alteryx | SQL | Python | Jira | Confluence | AWS | Azure